

## ATTACHMENT 1 - MANDATORY REQUIREMENTS CERTIFICATION

### INVESTMENT RATINGS AND RESEARCH SUBSCRIPTION RFP # 2025-64-INV

Please initial where indicated for each item. Failure to complete and return this form will result in your bid being deemed **non-responsive**.

#### CERTIFICATIONS:

- \_\_\_\_\_ 1. By the due date of the solicitation, the company bidding or its parent company, subsidiary, or related entity must be registered as a nationally recognized statistical rating organization ("NRSRO") agency under Section 15E of the Securities Exchange Act of 1934 ("Exchange Act") for the classes of credit ratings described in clauses (i) through (v) of Section 3(a)(62)(A) of the Exchange Act. The Commission must have determined and approved that the application furnished is in the form required by Exchange Act Section 15E, Exchange Act Rule 17g-1 (17 CFR 240.17g-1), and Form NRSRO (17 CFR 249b.300) and contains the information described in subparagraph (B) of Section 15E(a)(1) of the Exchange Act.
- \_\_\_\_\_ 2. Service must include access to analysts and all relevant inputs to the ratings decision which do not violate non-disclosure agreements, and which are generally available to other subscribers of ratings services. If any ratings inputs are available under separate subscriptions or service agreements, that should be clearly stipulated in the proposal; and the price of any such ancillary services should also be spelled out in the proposal.

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Typed/Printed Name**

\_\_\_\_\_  
**Company Name**

\_\_\_\_\_  
**Title**