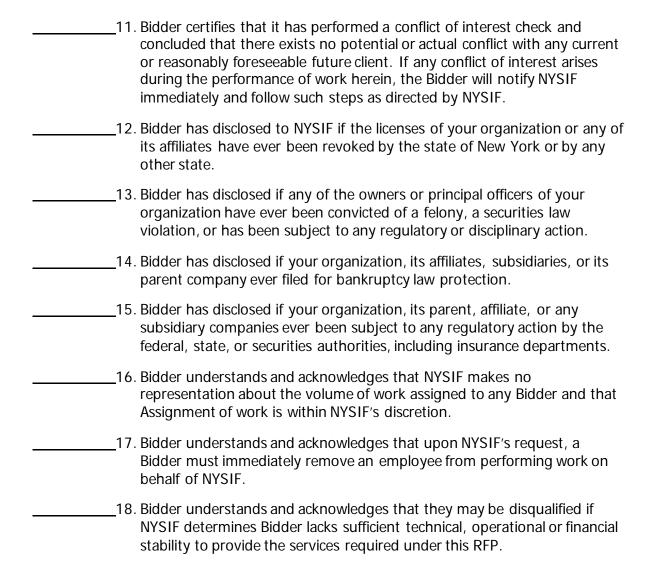


ATTACHMENT 4

MANDATORY REQUIREMENT CERTIFICATION

CERTIFICATIONS:

1.	Bidder completed the attached Appendix T, Vendor Security Survey. Appendix T, and any substantiating documents, even if included or referenced elsewhere in a bidder's response, must be submitted as one file and labeled separately in the electronic copy.
2.	Bidder is a qualified Licensed TPA to represent insurance carriers under the NYS WCL.
3.	Bidder, for Categories A, B & C, possesses a minimum of five (5) years of experience with the administration of workers' compensation programs. Bidder is be currently administering workers' compensation claims in the State of New York.
4.	If bidding on Category D, Bidder is currently administering DB & PFL claims in the State of New York and has a minimum of five (5) years of experience in the administration of DB and at least one (1) year of PFL claims.
5.	All claim adjusters that will be administering claims for NYSIF has a NYS DFS issued independent adjuster license.
6.	Bidder, for Categories A, B & C, utilizes the services of a Pharmacy Benefit Manager ("PBM"), a Diagnostic Testing Network ("DTN") and a Center for Medicare & Medicaid Services Medicare Set Aside Vendor ("CMS MSA"). Note: the PBMs, DTNs, and CMS MSAs used by the Bidders must be in compliance with the NYS Workers Compensation Board.
7.	Bidder complies with federal and state criteria set forth for the Centers for Medicare and Medicaid Services/Medicare and Medicaid & SCHIP Extension Act of 2007 reporting, NCCI reporting and IAIABC/Electronic Data Interchange (EDI) reporting.
8.	Bidder is willing to enter into a non-disclosure agreement (hereinafter "NDA") with NYSIF.
9.	Substitutions of key staff must be approved by NYSIF. Bidder may not delegate any responsibility performed pursuant to any contract resulting from this RFP to anyone not identified in its proposal, without prior written approval from NYSIF.
10.	Bidder has designated a specific individual to serve as the key liaison with NYSIF in contract matters



Claims management system (CMS) Certifications

Bidder operates a C	CMS that:		
1.	is in full compliance with the New Yo Claims Reporting requirements.	ork State Workers' Compensation EDI	
2.	generates management/claims reports and loss runs as shall be reasonably required by NYSIF and their policyholders.		
3.	can be successfully implemented, wis string layouts for the transfer of info & Attachment 6 in compliance with supprovided upon award.	rmation, as required in Attachment 5	
4.	allows client user complete remote a	ccess.	
5.	allows multiple users from multiple locations to view files simultaneously.		
6.	allows all imaged documents to be viewed by the client user.		
7.	allows a client user viewing of First Report of Injury/Subsequent Reports of Injury.		
8.	allows a client user viewing of all history of claims reporting in compliance with WCB statutory reporting requirements.		
9.	allows a client user viewing of reserv	ring history and rationale.	
10	. allows a client user to set up an alert, generate reports and segregate claims by type, such as incident, indemnity, medical only, etc.		
11	. allows reports to be exported to Excel or PDF or .csv.		
12	. can be tailored to include specific data fields/ad hoc reports.		
13	. allows a client user access to the complete notes regarding each claim.		
Signature		Date	
Typed/Printed Na	ime	Company Name	
Title			