



4/18/24

The following (Q&A) will serve as Amendment #3 to NYSIF's Request for Proposals (RFP) for Insurance Consulting Services, bid number 2024-08-INS. Material in this Amendment supersedes any contradictory material in the RFP.

- RFP Section 4.2.7 APPENDICES, bullet 7, is amended as follows:
*MWBE/ EEO Requirements and Procedures, **Appendix M** requires Bidders to submit (i) form 101; and (ii) form 106 or a copy of bidders EEO policy.*
- RFP page 5 Appendices, is amended as follows:
Appendix H - Disclosure of Prior Non-Responsibility Determinations
- Appendix H – Is attached as it was left out of the original RFP document.

Please note that the due date for the submission of bids **remains the same.**

All bids are due **5/16/24**, by 2:00 p.m. (Eastern)

Sincerely,

A handwritten signature in black ink that reads "Alicia Jemmott".

Alicia Jemmott

Contract Management Specialist

**Insurance Consulting Services
RFP #2024-08-INS
Amendment 3**

Question #	RFP Page #	RFP Section and Sub-Section Reference #/Heading	Question	NYSIF Response
1			Should our firm be selected for investment advice/consulting, to which parties or department would our firm report to?	The Department will vary depending on the Statement of Work (SOW) released, see Section 1.7.
2	15	2.3	For Bidders submitting bids for some, but not all of the listed services, should Bidders inform NYSIF of the services it is or is not provided bids for, prior to submitting a proposal?	Yes, please include all services you are able to meet in your proposal. Please note, work assigned is dependent on the Statement of Work (SOW), see Section 1.7.
3	16	2.4	To Clarify, Should Bidders include a SOW? Or, is that only to be provided upon the request of NYSIF?	Bidders do not need to include a SOW with their proposal.
4	18	2.5	Is it OK to invoice quarterly vs. monthly?	Yes.
5	19	2.7	Is it OK to submit a flat annual fee vs an hourly fee	For the purpose of this RFP, Bidders are required to submit hourly rates for evaluation purposes. NYSIF may choose to consider alternative pricing for future SOWs including but not limited to, project based deliverables, fixed price, etc., if it appears more favorable to NYSIF.
6	24	4.2.4	It states that, "Bidder does not have the capacity to perform this function," is an acceptable response where applicable. I'm assuming other variations of this statement are acceptable as well? For example, "Bidder does not provide these services, and only provides XYZ functions."	Yes, other variations of the statement are acceptable.
7		Attachment 1 - Mandatory Requirements	Is a Notary Public required? If so, is it the responsibility of the Bidder for the Certification to be notarized? Or, is it NYSIF's responsibility?	Yes, a notary public is required. It is the responsibility of the Bidder.
8		NDA	Is a Notary Public required? If so, is it the responsibility of the Bidder for the NDA to be notarized? Or, is it NYSIF's responsibility to get the NDA notarized?	Yes, a notary public is required. It is the responsibility of the Bidder.
9		Appendix F - NYS Certifications	Is a Notary Public required?	Yes, a notary public is required. It is the responsibility of the Bidder.
10	25	4.2.7	Only appendices listed in this section need to be submitted with the proposal, correct? For example, since Appendix O is not listed here, we wouldn't need to complete and submit that form with our proposal.	Correct. Appendix O will be required upon award.
11			If a 7-year contract is awarded, would services be rendered during the entire 7-year period? Or, would services only be provided on an as-needed basis?	Services will be provided on an as-needed basis during the 7-year period as outlined in Section 1.7.

Insurance Consulting Services
RFP #2024-08-INS
Amendment 3

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12	25	4.2.7 Appendices	Appendix H ("Disclosure of Prior Non-Responsibility and Procedures") is listed as a required form, however, the form itself is not included in the RFP or attachments. Is this form required?	This was left out in error, and has been attached as part of amendment #3.
13	25	4.2.7 Appendices	With respect to Appendix M ("MWBE/EEO Requirements and Procedures"), the RFP requires that form 103 or a copy of bidders current NYSDSD certification be included with the proposal. Form 103 does not appear in Appendix M or in the RFP attachments. Is this form required? If so, can NYSIF post the required form to the website?	Form 103 is not required. Per Section 1.9 PARTICIPATION OF NYS BUSINESS ENTERPRISES (MWBE) it has been determined that the contract does not offer sufficient opportunities to set specific goals for participation by MWBEs as subcontractors.
14	14	SECTION 2 – TECHNICAL SPECIFICATIONS 2.2 ADDITIONAL REQUIREMENTS	Can you clarify the functions included in 'Insurance Management'? Does it include both risk management and overall business management?	Yes, Insurance Management includes both risk management and overall business management.
15	14/15	SECTION 2 – TECHNICAL SPECIFICATIONS 2.2 / 2.3 ADDITIONAL REQUIREMENTS / SERVICES TO BE PROVIDED	Is there a current Performance Management Program to plan and monitor investment performance? If yes, what system does NYSIF use?	NYSIF uses Blackrock and Bloomberg systems as well as third party advisors to monitor our investment performance and risk.
16	15	SECTION 2 – 2.3 SERVICES TO BE PROVIDED	Is there a system based Asset and Liability Management (ALM) analysis that helps with the fund's liquidity risk analysis or is it done one off on as needed basis?	NYSIF does a duration Asset and Liability Management analysis on a regular basis which is supported by a cash flow Asset and Liability analysis that is done on a periodic basis.
17	20	3.1.3 QUANTITATIVE FACTOR FOR NYS CERTIFIED MINORITY AND WOMEN OWNED BUSINESSES, SMALL BUSINESSES, AND SERVICE DISABLED VETERAN OWNED BUSINESSES AS DEFINED IN NYS EXECUTIVE LAW	If the bidder is not a MBE, WBE, Small Business Enterprise, or SDVOB how will NYSIF score them against the 5% evaluation scale? Will they be awarded 0%?	Yes they will be awarded a zero (0) for that scorable item.
18	1	Appendix Z: FEE SCHEDULE PROPOSAL INSURANCE CONSULTING SERVICES	Appendix Z states that bidders are not to change, delete, or make any additions to the form. Are bidders only allowed to submit 7 total roles and rates?	Yes, please indicate which category (Top, Mid and Basic) each role is categorized as.

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

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19	17	COST/INVOICING	Will bidders have the ability to adjust hourly rates for Cost of Living Adjustments?	No, hourly rates should be all-inclusive and must include all ancillary costs associated with the delivery of services to NYSIF.
20	18	2.9 Location and Travel	Please specify the locations where the services are to be provided from.	To be determined based on the Statement of Work Released.
21	22	Section 4.1 - Bid Preparation	As mentioned in the Section 4.1 - Bid Preparation, we must submit the complete Administrative, Technical, and Cost Proposals. As per our understanding below constitutes Technical and Cost proposals, Technical Proposal: Bidder Certifications, Technical Experience / Description Of Proposed Services, Statement Of Competing Commitments, Comments And Limitations, Appendices Cost Proposal: Fee Schedule Can you please clarify what constitutes/included in the Administrative proposal.	The "Administrative" proposal would be the Appendices listed in Section 4.2.7 of the RFP.
22	25	4.2.7 APPENDICES	This section refers to the list of appendices that must be completed and returned with the proposal. However, document also contains two more appendices not mentioned in this list: Appendix N (ST-220CA, ST-220TD) and Appendix O (Contract Consultant Law (Forms A & B)). Our understanding is that these two Appendices (Appendix N and Appendix O) are for information only (to be completed at later stages) and need not to be completed and returned with the proposal. Please confirm.	Correct, Appendix N and Appendix O will be required upon award.
23	25	4.2.7 APPENDICES	This section refers to the list of appendices that must be completed and returned with the proposal. The list includes "Disclosure of Prior Non-Responsibility Determinations, Appendix H". However, Appendix H is not available in the RFP document, kindly confirm and share the Appendix H.	Please see the response to question 12.
24	15	2.3 - Business Reengineering	Could you provide an overview of the current business processes that are targeted for reengineering. If available, please share the process maps, flow charts and documents related to the current business processes.	To be determined based on the Statement of Work Released.
25	15	2.3 - Business Reengineering	What are the pain points or inefficiencies in the current processes that need to be addressed?	To be determined based on the Statement of Work Released.
26	15	2.3 - Business Reengineering	Please specify applications and tools (including any automation tools) and the technologies currently in use.	Information will be provided upon engagement with specific vendors.
27	15	2.3 - Business Reengineering	What are your major performance metrics? And how is your current performance in accordance to these metrics?	Information will be provided upon engagement with specific vendors.

Insurance Consulting Services
RFP #2024-08-INS
Amendment 3

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28	15	2.3 - Business Reengineering	Do you have any ongoing improvement initiatives? What methodology do you use? Is there a different methodology that you plan to use for the reengineering?	Information will be provided upon engagement with specific vendors.
29	15	Section 2.3- Technical Advice	We assume that apart from the applications related to the business functions (claims, actuarial, underwriting, risk control, loss, prevention, premium audit, and investments); additional applications will be in-scope for this RFP. Could you please list those business functions and corresponding applications?	All services in scope are included in the RFP.
30	16	Section 2.4 Contractor Responsibilities	The RFP document states "In addition, the contractor(s) may be asked to assist in the design, advice on alternative underwriting products including development and implementation". Our understanding is that scope of RFP does not include development & implementation and we do not have to share the Fee Schedule for these roles. Please confirm	See Section 1.7. which outlines how work will be assigned via a Statement of Work. Any roles potentially utilized throughout the lifetime of this contract should be included in Appendix Z.
31	17	Section 2.8 Subcontracting	We are considering partnering with a firm. Appendix Y, "Subcontractor Utilization" requires to specify the Dollar Value of the services. How should we specify the Dollar Value until specific SOWs are signed?	Bidders can put TBD under the 'Dollar Value' Section of Appendix Y, as it is dependent on the SOW.
32	15	Section 2.3- Technical Advice	The RFP states, "Technical Advice, including assisting in planning ... managing programming projects" Could you elaborate your current SDLC methodology, program/project management tools and cadence, governance structure and execution operating model?	Information will be provided upon engagement with specific vendors.
33	15	Section 2.3- Technical Advice	To ensure we provide you the best response, could you kindly share the current architecture and types of systems/solutions being used for portals, underwriting, claims, actuary, loss prevention, premium audit, billing, data warehousing/reporting, analytics, etc.	Information will be provided upon engagement with specific vendors.
34	15	Section 2.3- Technical Advice	Are there systems in your current ecosystem that are ready for upgrade / replacement? If so, which ones?	Information will be provided upon engagement with specific vendors.
35	15	Section 2.3- Technical Advice	We often come across legacy modernization imperatives in larger transformational programs. Could you indicate if legacy modernization initiatives are a priority area	Information will be provided upon engagement with specific vendors.
36	15	Section 2.3- Technical Advice	We would like to understand if a technical debt remediation strategy is in place and if that's an area where you would welcome expertise	Information will be provided upon engagement with specific vendors.

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

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37	15	Section 2.3- Technical Advice	In our Consulting engagements we often see leaders emphasize on a few key opportunities as below. Could you indicate if these are also present in your overall strategy: 1. Use of AI to streamline or improve efficiency e.g. in an area such as Claims Processing 2. Use of a consolidated data hub to gather insights 3. Automation in operational processes 4. Leading edge technologies such as Generative AI 5. Design Thinking Workshops to address changing customer/user interactions	Information will be provided upon engagement with specific vendors.
38	15	Section 2.3- Technical Advice	Enterprise Architecture (EA) is a key component for providing a blueprint for addressing challenges such as industry evolution and trends, regulatory and compliance changes, IT Cost Optimization. In order to provide you with the best solution and resources we would like to understand your EA function.	Information will be provided upon engagement with specific vendors.
39	20	3.1.2 FINANCIAL EVALUATION	It is our understanding that this RFP is to better understand capabilities and provide rates for the various roles. However, as there is no specific SOW or scope of work how do we provide the total cost.	No total cost is required, NYSIF is requiring Bidders submit all-inclusive hourly rates on Appendix Z, Fee Schedule Proposal. Any roles potentially utilized throughout the lifetime of this contract should be included in Appendix Z.
40	24	4.2.3 COMPANY BACKGROUND	As there is no specific SOW or scope of work defined how do we provide the list of all staff being proposed and maximum amount of time they would be allocated to this contract.	Bidders should provide a list of all staff that could be utilized in the performance of the contract
41	15	2.3 - Business Reengineering	What do you see as your biggest challenge in complying with NYS New York State Disability and Paid Family Leave Law?	Not Material to this RFP.
42	15	2.3 - Business Reengineering	What percentage of claims are processed through the different channels - mobile app, portal etc.	Information will be provided upon engagement with specific vendors.
43	15	2.3 - Business Advice and Consultation	When businesses seek workers compensation, what is their biggest hurdle to purchasing through NYSIF? In order words, what is the reason that they do not purchase insurance through NYSIF?	Information will be provided upon engagement with specific vendors.
44	15	Section 2.3	Can you share any business context / strategic imperatives that can help us understand what NYSIF's goals are from finding partner(s) through this RFP?	NYSIF is seeking Consultants to be placed on a panel for various Insurance/Investment Consulting topics. When a need arises an SOW will be released to the paneled firms as outlined in Section 1.7.
45	15	Section 2.3	Can you share an overview of your current operating model and information on any key suppliers and what they provide?	Information will be provided upon engagement with specific vendors.

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

Question #	RFP Page #	RFP Section and Sub-Section Reference #/Heading	Question	NYSIF Response
46	15	Section 2.3	Can you share an overview of the various solutions that support the operating model, including key business applications (e.g., customer, policy, claims, finance), and communications platforms (e.g., call centre etc.).	Information will be provided upon engagement with specific vendors.
47	15	Section 2.3	Can you share any transformation pursuits, with the high level business case, that are in-flight and/or are committed to that will change the customer journey and operating model?	Information will be provided upon engagement with specific vendors.
48	15	Section 2.3	Has NYSIF already put together a portfolio of projects or a high level transformation plan?	Yes.
49	15	Section 2.3	What resources should we expect NYSIF will provide on projects?	NYSIF has a variety of staff that will be assigned as needed.
50	15	Section 2.3	Does NYSIF plan to leverage resources on a continual basis or ad hoc basis over the contract timeframe?	Ad hoc basis.
51	15	Section 2.3	Has there been approved funding for these initiatives already? If not, are you expecting the selected vendor to be involved or support the funding process?	Not Material to this RFP.
52	15	Section 2.3	What are the major interactions between the requested services to be provided that are of most value to you? For example, business advice and consultation informing business reengineering?	Information will be provided upon engagement with specific vendors.
53	15	Section 2.3	We have found with large contracts such as these that somewhat regular in-person meetings are valuable. If preferable to NYSIF, please let us know how often you would like our team to travel and meet in-person with your team. Assume all costs included in fixed price or hourly rates.	Information will be provided upon engagement with specific vendors.
54	14	Section 2.2	We can support most but not all requirements outlined in Section 2.2. Is that acceptable?	As outlined in Section 2.2. "Experience should be in one or more of the following topics".
55	15	Section 2.3	What is a typical size (number of resources) and length (number of months) on a consulting engagement (Section 2.3)?	Varies depending on the project.
56	15	Section 2.3	What is directional annual proportion of types of engagements across Consulting Services? E.g. 10% engagements for Technical Advice, 50% engagements from Business Re-engineering and so on? (Section 2.3)	Unknown at this time.
57	15	Section 2.3	Does Investment Advice or Trading Strategies services include advising NYSIF on which market instruments to invest in?	No.

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

Question #	RFP Page #	RFP Section and Sub-Section Reference #/Heading	Question	NYSIF Response
58	17	Section 2.7	Can we submit rate cards by "Requirements" and / or "Services"?	Bidders are not to change, delete, or make any additions to this form, and are to supply only the bid information that is required. If any changes, deletions, or additions are made by the bidder, or if all of the required bid information is not provided, then at NYSIF's discretion, the bid may be disqualified. NYSIF is requiring an all-inclusive hourly rates be submitted on Appendix Z, Fee Schedule Proposal. This hourly rate is for evaluation purposes only. For the evaluation of pricing NYSIF shall only consider fees provided on Appendix Z, so Bidders are encouraged to provide their most favorable fees.
59	17	Section 2.7	Do we have the option of providing a range for rates, identifying both the minimum and maximum rates for a skill set?	Bidders are not to change, delete, or make any additions to this form, and are to supply only the bid information that is required. If any changes, deletions, or additions are made by the bidder, or if all of the required bid information is not provided, then at NYSIF's discretion, the bid may be disqualified. NYSIF is requiring an all-inclusive hourly rates be submitted on Appendix Z, Fee Schedule Proposal. This hourly rate is for evaluation purposes only. For the evaluation of pricing NYSIF shall only consider fees provided on Appendix Z, so Bidders are encouraged to provide their most favorable fees.
60	17	Section 2.7	We would like to include COLA adjustments for rates from Year 2 to Year 7. Is that acceptable to NYSIF?	No.
61	18	section 2.9	Please confirm if you will allow work to be delivered from a non-US location?	Per Appendix T, NYSIF's Information or Data is NOT transmitted outside of or accessed from outside of the United States.
62	19	Section 3.1.1	Would NYSIF, now or in the future, require references to validate project experiences submitted by us?	Yes, depending on the SOW.
63	24	Section 4 - BID/PROPOSAL FORMAT 4.2.3 COMPANY BACKGROUND	NYSIF requires the following information to be provided by the bidder: A list of all staff being proposed by Bidder. (a) and Principals and professionals to be assigned should be identified (e) Can NYSIF provide additional clarity on specific key personnel/key roles the organization seeks for this procurement?	Any key personnel that made be assigned to this project based on the scope outlined in the RFP.

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

Question #	RFP Page #	RFP Section and Sub-Section Reference #/Heading	Question	NYSIF Response
64	25	Section 4 - BID/PROPOSAL FORMAT 4.2.7 APPENDICES	Appendix H. Procurement Lobbying Legislation is listed as a required form, but does not exist in the RFP. Is this a required form, and if so, can NYSIF provide the Appendix H form?	Please see the response to question 12.
65	96	Appendix M - Participation by Minority & Women-Owned Business Enterprises Requirements & Procedures (Form 102 Attached Separately)	Form 101 of Appendix M requires a staffing plan to document the composition of the proposed workforce to be utilized in the performance of the Contract. How do you advise firms to complete the staffing plan (Form 101) for this procurement if specific projects under the procurement are not yet defined?	Bidders should provide a list of all staff that could be utilized in the performance of the contract.
66	102	Form 106 - MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT	Form 106 requests MWBE goals for this procurement but no goals have been established. How do you advise firms to establish goals for this procurement if specific projects under the procurement are not yet defined?	The goals for this procurement are 0%.
67	8	1.4 Purpose of this RFP	Who is the incumbent actuarial firm providing this service?	Not Material to this RFP.
68	8	1.4 Purpose of this RFP	How long has the current actuarial firm been providing services to NYSIF?	Not Material to this RFP.
69	15	2.3 Services to be Provided	What is the current annual fee amount and fee structure for services within the scope of the RFP?	Not Material to this RFP.
70	9	1.7 Method of Award/Distribution of Work	Could you please provide scoring information and a copy of the proposal of the last successful proposer?	Not Material to this RFP.
71	6		Is the submittal time deadline 12pm ET or 2pm Et?	2pm EST
72	103-108	Contractor Certification to Covered Agency	Please confirm Contractor Certification to Covered Agency (pages 103-108) and Appendix O do not need to be submitted	Correct, Appendix O will be required upon award.
73	N/A	Attachments	Where is Attachment 1, Mandatory Requirement Certification?	The 1st attachment under the 2024-08-INS Insurance Consulting Services RFP on NYSIF's website (www.nysif.com/procurement). It is the link labeled Attachment 1 - Mandatory Requirement Certification
74	N/A	Attachments	Where is the non-disclosure agreement?	The NDA is the 4th attachment under the 2024-08-INS Insurance Consulting Services RFP on NYSIF's website (www.nysif.com/procurement). It is the link labeled Attachment 4 - Non-Disclosure Agreement (NDA)

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

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75	23	4.2 Bid Format	In 4.2 Bid Format, we are instructed to submit Appendix T as one file and labeled separately. Does this mean that we should include Appendix T in the Administrative Proposal, but also include it as a fourth, separate document (in addition to our Administrative Proposal, Technical Proposal and Cost Proposal?	Appendix T and all the supporting documents should be submitted all together as a separate document.
76	5 & 25	4.2.7 Appendices - page 25; Appendices list - page 5	In 4.2.7 Appendices, Appendix H is listed as "Disclosure of Prior Non-Responsibility Determinations," while the list of appendices on page 5 refers to Appendix H as "Procurement Lobbying Legislation." Could you please clarify which document is the correct Appendix H?	Appendix H - Disclosure of Prior Non-Responsibility Determinations. Amendment #3 addresses the correction of the name and provides the attachment that was left out of the original RFP document.
77	N/A	Appendices/Attachments	While looking through the appendices, Appendix H was no where to be found. Could you please provide us with a copy of Appendix H?	Please see the response to question 12.
78	12	1.9 PARTICIPATION OF NYS BUSINESS ENTERPRISES (MWBE)	The RFP says, "For purposes of this procurement, NYSIF conducted a comprehensive review of the services required under this procurement and determined that the Contract does not offer sufficient opportunities to set specific goals for participation by MWBEs as subcontractors, service providers, and suppliers to Contractor." We did not receive any market survey about our capabilities and this kind of vague statement about "encouraging MWBE participation" all but ensures that MWBE participation will be optional. As a certified MBE with a long track record in projects at federal, state and local level, we ask that NYSIF conduct a formal survey or establish a hard 30% MWBE goal	NYSIF conducted an internal review of the services under this procurement and determined that there are not sufficient subcontracting opportunities to support a 30% goal. NYSIF encourages MWBE participation by directly soliciting to firms found on NYS M/WBE Directory of Certified Firms. Additionally, the evaluation criteria for this RFP includes a Quantitative Factor for Business Certification that offers an extra 5% to the evaluation score for any firm that meets the criterion outlined in the RFP for MWBE certification.
79	24	4.2.3 COMPANY BACKGROU	To keep our fixed costs low, our company regularly uses independent contractors who are not employees. All federal, state and New York City allows such independent contractors to be presented as members of team. We wish to confirm that NYSIF will accept such team members. We will present their full qualifications and experience as if they were employees	Yes. Any outside companies should be listed in Appendix Y, if applicable.
80	15	2.3 SERVICES TO BE PROVIDED	The proposal states, "NYSIF may request the contractor(s) study one department or one function" Has NYSIF identified the target department or scope of the initial assessment and optimization? If yes, can additional information regarding size, scope, number of employees, etc. be provided?	This varies depending on the SOW released, see Section 1.7.

Insurance Consulting Services

RFP #2024-08-INS

Amendment 3

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81	15	2.3 SERVICES TO BE PROVIDED	The proposal states, "As directed, the contractor(s) shall review programs, functions and/or departments, or particular aspects thereof, and develop and recommend policies and procedures including identifying problems, efficiencies and improvements; suggesting solutions that are workable in the NYSIF environment." Has NYSIF identified objectives or outcomes that they would like to achieve from the optimization? For example, what is NYSIF's North Star?	Information will be provided upon engagement with specific vendors.
82	15	2.3 SERVICES TO BE PROVIDED	Has NYSIF developed an internal list of priorities or timeline that can be shared?	No timeline has been established at this time.
83	19	3.1.1 TECHNICAL	In addition to Appendix T, how will a pass/fail determination be made?	Bidders must attest to their ability to meet all the mandatory requirements on Attachment 1 - Mandatory Requirement Certification. In addition, NYSIF's Information Security Office will review bidders Appendix T, in accordance to the qualifications outlined in the Appendix.
84	23	4.2 Bid Format	Our understanding is that there should be three separate packets submitted: Technical Proposal, Administrative Proposal, and Cost Proposal. Should Appendix T be submitted as part of or separate from the Administrative Proposal? "Appendix T, the Vendor Security Survey, and any substantiating documents, even if included or referenced elsewhere in a bidder's response, should be submitted as one file and labeled separately in the electronic copy."	Separate from the Administrative proposal.
85	23	4.2 Bid Format	Should the Bidder Certification form be submitted as part of the Technical proposal or Administrative proposal?	Technical.
86	20	3.1.3 Quantitative Factor for NYS Certified Minority and Women Owned Businesses, Small Businesses, and Service Disabled Veteran Owned Businesses as Defined in NYS Executive Law	Will NYSIF consider awarding 5% (per Evaluation Criteria) to firms that are not themselves MWBE/Small Business/SDVOB, but intend to include MWBE/Small Business/SDVOB as a teaming partner?	No.
87	130	Appendix Z - Fee Schedule Proposal	Given this RFP vehicle term is for seven (7) years, can we assume that the rates quoted in Appendix Z are for one (1) year and can be negotiated to an agreed escalation metric, such as CPI? If so, where can we document this agreed escalation metric in the form?	There is no CPI under this contract.



APPENDIX H

Disclosure of Prior Non-Responsibility Determinations

Name of Individual or Entity Seeking to Enter into the Procurement Contract:

Address:

Name and Title of Person Submitting this Form:

Bid Number:

Date:

- Has any Governmental Entity made a finding of non-responsibility regarding the individual or entity seeking to enter into the Procurement Contract in the previous four years? (Please circle):
 No Yes

If yes, please answer questions 2-4; otherwise, proceed to 5:

- Was the basis for the finding of non-responsibility due to a violation of State Finance Law §139-j? (Please circle):
 No Yes
- Was the basis for the finding of non-responsibility due to the intentional provision of false or incomplete information to a Governmental Entity? (Please circle):
 No Yes

- If you answered yes to any of the above questions, please provide details regarding the finding of non-responsibility below.

Governmental Entity: _____

Date of Finding of Non-responsibility: _____

Basis of Finding of Non-Responsibility:

(Add additional pages as necessary)

5. Has any Governmental Entity or other governmental agency terminated or withheld a Procurement Contract with the above-named individual or entity due to the intentional provision of false or incomplete information? (Please circle):

No Yes

6. If yes, please provide details below.

Governmental Entity: _____

Date of Termination or Withholding of Contract: _____

Basis of Termination or Withholding:

(Add additional pages as necessary)

Bidder certifies that all information provided to the Governmental Entity with respect to State Finance Law §139-k is complete, true and accurate.

By: _____

Name: _____

Title: _____

Date: _____