



Instructions for taking Disability and/or Paid Family Leave for yourself due to COVID-19 Quarantine/Isolation

- 1. Complete Sections 1 & 2 of this form and Part A of the Request for Paid Family Leave (Form PFL-1).
a. Leave Questions 11 and 12 blank on Form PFL-1 and instead complete Section 1 below.
2. Give completed forms to your employer.
a. Employer completes Section 3 of this form and Part B of Form PFL-1, within 3 business days.
3. Attach mandatory or precautionary order of quarantine or isolation, or doctor's note indicating the period of quarantine, or PCR lab test showing a positive COVID test result, or affirmation of isolation (if you tested positive) or affirmation of quarantine (if you were exposed).
4. Submit all forms and order of quarantine / doctor's note / positive PCR lab test / affirmation of isolation or quarantine to NYSIF.

For further guidance, visit the PFL website at PaidFamilyLeave.ny.gov.

SECTION 1 - PAID FAMILY LEAVE (PFL) REQUEST (to be completed by the employee)

You may be eligible to take both Disability Benefits and Paid Family Leave benefits up to a maximum Disability rate of \$2,043.92 and up to a maximum Paid Family Leave rate of \$840.70, for a total maximum of \$2,884.62 per week.

Reason for PFL request: Disability and/or Paid Family Leave Benefits due to COVID-19 Quarantine/Isolation

SECTION 2 – EMPLOYEE ATTESTATION (to be completed by the employee)

- 1. Are you, or have you been, under a mandatory or precautionary order of quarantine or isolation? Yes No
2. Have you traveled outside of United States since February 1, 2020? Yes No
If yes, to which country/countries did you travel, and when?
3. Are you experiencing, or have you experienced, symptoms associated with COVID-19? Yes No
If yes, on what date did these symptoms begin?
4. Have you been diagnosed as having COVID-19 by a physician or test? Yes No
5. While you were out, did your employer offer you work through remote access or similar means? Yes No
6. Have you worked for your employer through remote access or similar means while you were out? Yes No
If yes, on which date(s)?
7. Have you received sick pay or any other type of pay while you were absent? Yes No
If yes, on which date(s)?
8. Did you have a previous absence due to COVID-related issues? Yes No
If yes, on which date(s)?

I affirm that my answers above are true and correct, to the best of my knowledge.

Employee Signature: Date:

Print Employee Name:



SECTION 3 – EMPLOYER ATTESTATION (to be completed by the employer)

1. Before their absence, what was the last date that the employee worked, on-site or remotely? ..... \_\_\_\_\_
2. Have you offered them work through remote access or similar means during their absence? ..... Yes No
3. Have they actually worked from home during their absence? ..... Yes No  
If yes, on which date(s)? \_\_\_\_\_
4. As of January 1, 2020, how many people were employed in any state by your business (under the FEIN that the claimant works for)? \* \_\_\_\_\_
5. If your business employs 10 people or fewer, what was the estimated net income of the business in 2019 (under the FEIN that the claimant works for)? \_\_\_\_\_
6. Has the employee previously had a COVID-related absence? ..... Yes No  
If yes, when? \_\_\_\_\_
7. Have you paid your employee any type of wages during the current absence? ..... Yes No  
If yes, please provide each type of leave pay (sick, vacation, PTO etc.), and the dates when each type of leave was paid. \_\_\_\_\_
8. Have you paid your employee NYS-mandated COVID quarantine sick leave as detailed below? .... Yes No  
If yes, on what dates? \_\_\_\_\_

**\* PLEASE NOTE:** Based on your answers to Questions 4 and 5, you may be responsible for providing this employee with sick leave for part or all of their COVID-related absence, in accordance with NYS emergency COVID-19 legislation. NYSIF cannot pay claims during periods where this sick pay should be paid.

- **1-10 employees (2019 net income less than \$1 million):** Unpaid sick leave for the duration of the quarantine period.
- **1-10 employees (2019 net income more than \$1 million):** Up to five (5) days of paid sick leave, separate from any accruals, at the start of the quarantine period, and unpaid sick leave until the termination of the quarantine order.
- **11-99 employees:** Up to five (5) days of paid sick leave, separate from any accruals, at the start of the quarantine period, and unpaid sick leave until the termination of the quarantine order.
- **100+ employees:** Up to fourteen (14) days of paid sick leave. Emergency DB/PFL Quarantine benefits are not payable by NYSIF, but if the employee remains unable to work beyond the 14 days of employer-provided sick pay, they may be eligible for "standard" Disability Benefits for the remainder of their disability.

I affirm that my answers above are true and correct, to the best of my knowledge.

Employer Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Print Employer Name: \_\_\_\_\_

Title: \_\_\_\_\_

# Request For Paid Family Leave (Form PFL-1) Instructions

- To request PFL, the employee requesting PFL must complete Part A of the *Request For Paid Family Leave (Form PFL-1)*. All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the *Request For Paid Family Leave (Form PFL-1)* and returns it to the employee within three days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.
- **The employee submits the completed *Request For Paid Family Leave (Form PFL-1)* with the required additional form to the employer's PFL insurance carrier listed on Part B of *Request For Paid Family Leave (Form PFL-1)*. The employee should retain a copy of each submitted form for their records.**

## PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

### Paid Family Leave (PFL) Request (to be completed by the employee)

**Question 12:** A child is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

**Questions 13:** If dates are "Continuous", the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate "Dates are estimated". If dates are "Periodic", enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown or estimated,

indicate "Dates are estimated".

If dates are estimated, the PFL carrier may require you to submit a request for payment **after** the PFL day is taken. Payment for approved claims will be due as soon as possible but in no event more than 18 days from the date of the completed request.

**Question 14:** If the employee is submitting the PFL request to their employer with less than 30 days' advance notice from the start date of the PFL, the employee must explain why 30 days' notice could not be given. If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name and their date of birth at the top of the attachment.

### Employment Information (to be completed by the employee)

**Question 16:** Enter the date of hire to the best of the employee's recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

**Question 18:** Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. **The gross weekly wage is the total weekly pay - including overtime, tips, bonuses and commissions - before any deductions are made by the employer**, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate their gross weekly wage as follows:

**Step 1:** Add all gross wages received (before any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)

**Step 2:** Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.

**Step 3:** If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add

the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

Example of a gross weekly wage calculation:

Week 1 - Gross wage including overtime	\$550
Week 2 - Gross wage	\$500
Week 3 - Gross wage	\$500
Week 4 - Gross wage	\$500
Week 5 - Gross wage	\$500
Week 6 - Gross wage	\$500
Week 7 - Gross wage, including overtime	\$600
Week 8 - Gross wage, including overtime	+ \$550
Total =	\$4,200
Divide by 8	÷ 8
Average Weekly Wage =	\$525
Bonus earned in preceding 52 weeks	\$2,600
Divide by 52	÷ 52
Prorated Weekly Bonus =	\$50

*Form PFL-1 Instructions continued on next page*

**PART A - EMPLOYEE INFORMATION** (to be completed by the employee) - continued from prior page*Form PFL-1 Instructions continued from prior page*

Average Weekly Wage	\$525
Prorated Weekly Bonus	+ \$50
<b>Average Weekly Wage (including bonus) =</b>	<b>\$575</b>

Please note that the employer is also required to provide this information in Part B of the *Request For Paid Family Leave (Form PFL-1)*.

**If you are pre-submitting form:** Indicate if the employee is pre-submitting their PFL request. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. If pre-submitting is permitted by the carrier

or self-insured employer, the missing information must be supplied as soon as it is known. Benefits cannot be determined until all of the required information is provided.

The PFL insurance carrier or self-insured employer will provide the employee a notice within five days which 1) states the claim is pending; 2) identifies what information is missing; 3) instructs how to submit the missing information. **Once all information is supplied, the PFL insurance carrier or self-insured employer has 18 days to pay or deny the claim.**

If the carrier or self-insured employer does not permit pre-submitting, the carrier or self-insured employer must return the Request for Paid Family Leave within five days to the employee with an explanation that the claim should be re-submitted when all information is available.

**Employee signs and dates, before giving this form to their employer to complete Part B.**

**PART B - EMPLOYER INFORMATION** (to be completed by the employer)

**The employer of the employee requesting PFL must complete all information in Part B.**

**Question 2:** If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

**Question 3:** Enter the employer's Standard Industrial Classification (SIC) Code. Contact your carrier if you don't know your SIC code.

**Question 8:** The employee occupation code can be found at: [www.bls.gov/soc/2018/major\\_groups.htm](http://www.bls.gov/soc/2018/major_groups.htm)

**Question 9:** Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weekly pay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 starting on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

**Affirmation employee is eligible for PFL:** An employee who regularly works 20 hours or more per week must have been in employment for at least 26 consecutive weeks. An employee who regularly works less than 20 hours per week must have worked 175 days.

**Question 10:** Failure to select "Yes" for requesting reimbursement from the insurance carrier, will result in a waiver of the right to reimbursement.

**Question 11a:** 'Disability' refers to NYS statutory required disability. If the answer is "none," enter a "0" for total weeks and days in Question 12b.

**Question 11b:** The maximum number of weeks available for NYS statutory disability and PFL in any 52 week period is 26 weeks. Specify the total number of weeks, as well as the number of additional days if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

**Question 13, 14 & 15:** Enter the Paid Family Leave or Disability/PFL insurance carrier's name, address and PFL policy number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

**Employer signs and dates, and then returns to the employee requesting PFL within three business days.**

**Notification Pursuant to New York Personal Privacy Protection Act (Public Officers Law Article 6-A) and Federal Privacy Act of 1974 (5USC §552a)**  
The Workers' Compensation Board's (Board's) authority to request that claimants provide personal information, including their social security number, is derived from the Board's investigatory authority under Workers' Compensation Law (WCL) § 20, and its administrative authority under WCL § 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate claim records. Providing your social security number to the Board is voluntary. There is no penalty for failure to provide your social security number on this form; it will not result in a denial of your claim or a reduction in benefits. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.

**Notification Pursuant to New York Personal Privacy Protection Act (Public Officer Law Article 6-A) and Federal Privacy Act of 1974 (5USC §552a)**  
The personal information requested on this form, including your social security number, is collected by NYSIF in order to manage your claim and distribute your benefits, and to complete and verify tax documentation related to your benefits. Your personal information is confidential and will not be disclosed to anyone except for these purposes, in accordance with state and federal law. To access or correct your personal records, please contact: Records Access Officer, NYSIF, PO Box 66699, Albany, NY 12206. Email: [freedominfo@nysif.com](mailto:freedominfo@nysif.com)



**PART A - EMPLOYEE INFORMATION** (to be completed by the employee)

1. **Employee's legal name** (first name, middle initial, last name)

\_\_\_\_\_

2. **Other last names, if any, under which employee has worked**

\_\_\_\_\_

3. **Employee's mailing address**

Street address  
 \_\_\_\_\_

City, State  
 \_\_\_\_\_

Zip code      Country (if not U.S.A.)  
 \_\_\_\_\_

4. **Employee's Social Security Number or TIN**

□□□□ - □□□ - □□□□□□

5. **Employee's date of birth** (MM/DD/YYYY)

□□ / □□ / □□□□

6. **Employee's primary telephone number**

( □□□□ ) □□□□ - □□□□□□

7. **Employee's preferred email address while on PFL** (if available)

\_\_\_\_\_

8. **Employee's gender**

Male/M    Female/F    Non-binary or third gender/X

9. **Employee's preferred language**

English    Español    Русский    Polski  
 中文    Italiano    Kreyòl ayisyen    한국어  
 Other  
 \_\_\_\_\_

**Optional (for research purposes)**

10. **Employee's ethnicity/race**

For purposes of health demographic only. (U.S. Centers for Disease Control and Prevention (CDC) code set, version 1.0.)

**Is employee of Hispanic, Latino/a, or Spanish origin?**  
(One or more categories may be selected.)

- Mexican
- Mexican American
- Chicano/a
- Puerto Rican
- Dominican
- Cuban
- Another Hispanic, Latino/a, or Spanish origin
- Not of Hispanic, Latino/a, or Spanish origin
- Unknown

**What is employee's race?**

(One or more categories may be selected.)

- American Indian or Alaska Native
- Black or African American
- Asian Indian
- Chinese
- Filipino
- Japanese
- Korean
- Vietnamese
- Other Asian
- White
- Native Hawaiian
- Guamanian or Chamorro
- Samoan
- Other Pacific Islander
- Other race

**Paid Family Leave (PFL) Request** (to be completed by the employee)

11. **Reason for PFL request:**    Bond with child    Care for family member    Military qualifying event

12. **The family member is employee's:**

- Child    Spouse    Domestic partner    Parent    Parent-in-law    Grandparent    Grandchild

*Form PFL-1 continued on next page*

**TO BE COMPLETED BY THE EMPLOYEE**

**Employee's name** (first name, middle initial, last name)

**Employee's date of birth** (MM/DD/YYYY)

/   /

**PART A - EMPLOYEE INFORMATION** (to be completed by the employee) - continued from prior page

*Form PFL-1 continued from prior page*

**13. Will PFL be for a continuous period of time and/or periodic?**

Continuous PFL start date (MM/DD/YYYY)   /   /     PFL end date (MM/DD/YYYY)   /   /       Dates are estimated

Periodic Identify dates periodic PFL will be taken:   Dates are estimated

**14. If providing less than 30 day's advance notice to the employer, please explain:**

\_\_\_\_\_

**Employment Information** (to be completed by the employee)

**15. Business name**

\_\_\_\_\_

**16. Employee's date of hire** (MM/DD/YYYY)   /   /

**17. Employee's work location**

Street address

City, State  Zip code  Country (if not U.S.A.)

**18. Employee's average gross weekly wage** (This data will be requested of both employee and employer) \_\_\_\_\_

**19. Employer's telephone number for contact regarding this request** (    )   -

**20a. Does employee have more than one employer?**  Yes  No

**20b. If yes, is employee taking PFL from the other employer?**  Yes  No

**21. Is employee currently receiving Workers' Compensation Lost Wage Benefits?**  Yes  No

**Disclosure statement:** Information regarding PFL benefits received by the employee, such as payments received and types of leave, will be provided to the employer.

**Declaration and signature**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am hereby making a request for paid family leave benefits under the NYS Workers' Compensation Law. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.

\_\_\_\_\_   /   /

Employee's signature Date signed (MM/DD/YYYY)

I am submitting this form in advance (see instructions about pre-submitting). I understand the insurance carrier will contact me to advise how to submit the required missing information.

**TO BE COMPLETED BY THE EMPLOYEE**

**Employee's name** (first name, middle initial, last name)

**Employee's date of birth** (MM/DD/YYYY)

/  /

**PART B - EMPLOYER INFORMATION** (to be completed by the employer)

**1. Business's full legal name and mailing address**

Business name

Mailing address

City, State

Zip code

Country (if not U.S.A.)

**2. Employer's FEIN**  -

**3. Employer's Standard Industrial Classification (SIC) Code**

**4. Employer's contact name for questions related to PFL**

**5. Employer's contact telephone number** (  )  -

**6. Employer's contact email address**

**7. Employee's date of hire** (MM/DD/YYYY)  /  /

**8. Employee's occupation** Codes are available at: [www.bls.gov/soc/2018/major\\_groups.htm](http://www.bls.gov/soc/2018/major_groups.htm)  -

**9. Enter the last 8 weeks of gross wages for the employee and calculate the average gross weekly wage**

Week no.	Week ending date (MM/DD/YYYY)	Number of days worked	Gross amount paid
1			
2			
3			
4			
5			
6			
7			
8			
<b>Calculated average gross <u>weekly</u> wage:</b>			

**10. If employee received or will receive full wages while on PFL, will employer be requesting reimbursement?**  Yes  No

*Form PFL-1 continued on next page*

**TO BE COMPLETED BY THE EMPLOYEE**

**Employee's name** (first name, middle initial, last name) \_\_\_\_\_

**Employee's date of birth** (MM/DD/YYYY)  /  /

**PART B - EMPLOYER INFORMATION** (to be completed by the employer) - continued from prior page

*Form PFL-1 continued from prior page*

**11a. In the preceding 52 weeks has the employee taken leave for:**  NYS Disability  PFL  Both Disability and PFL  None

**11b. Enter the total number of weeks and days taken for both Disability and PFL in the last 52 weeks:**

<b>Disability:</b>	Weeks	Please provide specific dates for Disability: <input type="text"/>
	Days	
<b>PFL:</b>	Weeks	Please provide specific dates for PFL: <input type="text"/>
	Days	

**12. Is the employee taking Family Medical Leave Act (FMLA) concurrently with PFL?**  Yes  No

**13. PFL insurance carrier's name and mailing address**

PFL insurance carrier's name

Mailing address

City, State <input type="text"/>	Zip code <input type="text"/>	Country (if not U.S.A.) <input type="text"/>
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**14. PFL insurance carrier's telephone number** (  )  -

**15. PFL policy number** \_\_\_\_\_

**Declaration and signature**

I affirm the employee regularly works 20 or more hours per week and has been in employment for at least 26 consecutive weeks OR the employee regularly works less than 20 hours per week and has worked at least 175 days.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am the person authorized to sign as the employer of the employee requesting PFL. My signature affirms that to the best of my knowledge and belief, the information I have provided is true and accurate.

Employer's authorized signature \_\_\_\_\_

Date signed (MM/DD/YYYY)

/  /

Title \_\_\_\_\_